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- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: <u>patin21help@uspto.gov</u> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:

## **Checker Version 3.0**

The Checker Version 3.0 application is a state-of the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 – 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address: http://www.uspto.gov/web/offices/pac/checker



DEC 0 7 2001 Raw Sequence Listing Error Summary

ERROR DETECTED TECH CENTER 1600/2900

SUGGESTED CORRECTION

serial number: <u>09/80/</u>, 852

## ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE The number/text at the end of each line "wrapped" down to the next line. This may occur if your file Wrapped Nucleics was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will Wrapped Aminos prevent "wrapping." Invalid Line Length The rules require that a line not exceed 72 characters in length. This includes white spaces. The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; Misaligned Amino use space characters, instead. Numbering The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please Non-ASCII ensure your subsequent submission is saved in ASCII text. contain n's or Xaa's representing more than one residue. Per Sequence Rules, Variable Length. each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing. A "bug" in Patentln version 2.0 has caused the <220>-<223> section to be missing from amino acid PatentIn 2.0 Normally, Patentin would automatically generate this section from the "bug' previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences. missing. If intentional, please insert the following lines for each skipped sequence: Skipped Sequences Sequence(s) (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (OLD RULES) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION: SEQ ID NO: X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences. missing. If Intentional, please insert the following lines for each skipped sequence. Skipped Sequences Sequence(s) \_ (NEW RULES) <210> sequence id number <400> sequence id number Use of n's and/or Xaa's have been detected in the Sequence Listing. Use of n's or Xaa's (NEW RULES) Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents. Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or Invalid <213> scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or Response is Artificial Sequence Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules) Please do not use "Copy to Disk" function of Patentln version 2.0. This causes a corrupted file, PatentIn 2.0 resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence "bug" listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk. . Misuse of n n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH - Biotechnology Systems Branch - 08/21/2001

OIPE

RAW SEQUENCE LISTING

DATE: 08/01/2001

PATENT APPLICATION: US/09/801,852

TIME: 15:21:27

Input Set : A:\ON0163.ST25Rev.txt

Output Set: N:\CRF3\08012001\I801852.raw

- 3 <110> APPLICANT: Bristol-Myers Squibb Company
- Chiang, Shu-Jen
- Jonathan, Basch
- 7 <120> TITLE OF INVENTION: DIRECT PRODUCTION OF DESACETYLCEPHALOSPORIN C
- 9 <130> FILE REFERENCE: ON0163
- 11 <140> CURRENT APPLICATION NUMBER: 09/801,852
- 12 <141> CURRENT FILING DATE: 2001-03-08
- 14 <150> PRIOR APPLICATION NUMBER: 60/188,033
- 15 <151> PRIOR FILING DATE: 2000-03-09
- 17 <160> NUMBER OF SEQ ID NOS: 15
- 19 <170> SOFTWARE: PatentIn version 3.0

Does Not Comply Corrected Diskette Needed

## ERRORED SEQUENCES

507 <210> SEQ ID NO: 15

508 <211> LENGTH: 17
509 <212> TYPE: PRT
510 <213> ORGANISM Other nucleic acid world - see den 10 on Even Luman

Mest

516 Gly Gly Tyr Thr Cys Arg Thr Thr Cys Gly Gly Arg Thr Thr Asn Gly

517 1

10

519 Thr

E--> 522/1

E--> 525

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/801,852

DATE: 08/01/2001

TIME: 15:21:28

Input Set : A:\ON0163.ST25Rev.txt
Output Set: N:\CRF3\08012001\I801852.raw

L:522 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:15 M:332 Repeated in SeqNo=15